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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1 of 1

Complete if Known

Application Number	N/A
Filing Date	29 October 2003
First Named Inventor	Thomas Peterffy et al
Art Unit	
Examiner Name	
Attorney Docket Number	30894-101

U. S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
/BKS/	US-	6,618,707	09/09/2003	Gary	
/BKS/	US-	US 2001/0044767	11/22/2001	Madoff et al.	
/BKS/	US-	60/422,408	10/30/2002	Peterffy et al.	
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FOREIGN PATENT DOCUMENTS					
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Examiner Signature	/Bijendra K. Shrestha/	Date Considered	08/16/2007
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 606. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kind Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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